

8-30-01

A



# NON FEE UTILITY Patent Application Transmittal

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number	YAMA001CON9
First Named Inventor	Thomas T. Yamashita
Title	Method and Composition for Promoting and Controlling Growth of Plants
Express Mail Label No.	EL923478875US

**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)

(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.

See 37 CFR 1.27.

3.  Specification

Total Pages

105

- (preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to sequence listing, a table, or a computer program listing appendix

- Background of the Invention

- Brief Summary of the Invention



- Brief Description of the Drawings (if filed)

- Detailed Description

- Claims(s)

- Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113)

Total Sheets

2

5. Oath or Declaration

Total Pages

2

- a.  Newly executed (original or copy)

- b.  Copy from a prior application (37 CFR 1.63(d))

- i.  DELETION OF INVENTOR(S)

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6.  Application Data Sheet, See 37 CFR 1.76**ADDRESS TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or Computer

Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)a.  Computer Readable Form (CRF)b. Specification Sequence Listing on:

- i.  CD-Rom or CD-R (2 copies); or
- ii.  Paper

c.  Statements verifying identity of above copies

J1050 US PRO  
10/09/94 2032  
08/26/01

**ACCOMPANYING APPLICATION PARTS**9.  Assignment Papers (cover sheet & documents(s))10.  37 CFR 3.73(b) Statement  
(when there is an assignee)  Power of Attorney11.  English Translation Document (if applicable)12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS Citations13.  Preliminary Amendment14.  Return Receipt Postcard (MPEP 503)  
(should be specifically itemized)15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)16.  Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).  
Applicant must attach form PTO/SB/35 or its equivalent17.  Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP) of prior application No: 09/615,930, filed 7-13-00

Prior Application Information: Examiner J. Gellner Group Art Unit: 3643

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

**Fee Calculation****CLAIMS AS FILED**

For	# Filed	# Allowed	# Extra	Rate	Fee
-----	---------	-----------	---------	------	-----

Total Claims	41	20	21	X	
--------------	----	----	----	---	--

Indep. Claims	7	3	4	X	
---------------	---	---	---	---	--

Multiple Dependent Claims (check if applicable)	<input type="checkbox"/>				
---	--------------------------	--	--	--	--

BASIC FEE

OTHER FEE (specify purpose)	<hr/>				
-----------------------------	-------	--	--	--	--

TOTAL FILING FEE

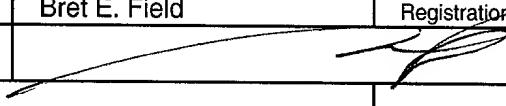
**Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)**

19.  Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

***Warning***

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

**20. CORRESPONDENCE ADDRESS**

Name (Print/Type)		Bret E. Field	Registration No.	37,620	
Signature				Date	08-28-2001
Firm Name	Bozicevic, Field & Francis LLP		Address	200 Middlefield Road, Suite 200	
City	Menlo Park	State	California	zip	94025
Telephone - Direct Dial		650-833-7770		Fax	
				650-327-3231	

DO NOT FILE IN PAPER FORM